## APPENDIX A 10(j) REPORT 3/3/98 THROUGH 1/15/01

| Type of Situation  | Total<br>Number of<br>Cases in<br>Category | Settled<br>Before<br>Petition | Settled<br>After<br>Petition | Injunction<br>Granted in<br>Whole or<br>Substantial<br>Part | Injunction<br>Denied in<br>Whole or<br>Substantial<br>Part | Case Not Litigated (misconduct ceased, changed circumstances, mooted by Board decision) | Pending<br>Before<br>Court |
|--|--|-------------------------------|------------------------------|---|--|---|----------------------------|
| 1. Interference with organizational campaign (no majority)       | 41   | 11                            | б                            | 10  | 4  | 5   | 5                          |
| 2. Interference with organizational campaign (majority)          | 29   | 6                             | 5                            | 6   | 3  | 3   | 6                          |
| 3. Subcontracting or other change to avoid bargaining obligation | 5  | 0                             | 1                            | 2   | 0  | 2   | 0                          |
| 4. Withdrawal of recognition from incumbent                      | 27   | 9                             | 4                            | 10  | 2  | 2   | 0                          |
| 5. Undermining of bargaining representative                      | 21   | 11                            | 0                            | 4   | 1  | 2   | 3                          |
| 6. Minority union recognition                                    | 2  | 1                             | 0                            | 0   | 1  | 0   | 0                          |
| 7. Successor refusal to recognize and bargain                    | 21   | 6                             | 4                            | 6   | 5  | 0   | 0                          |
| 8. Conduct during bargaining negotiations                        | 13   | 5                             | 0                            | 5   | 2  | 1   | 0                          |

| Type of Situation   | Total<br>Number of<br>Cases in<br>Category | Settled<br>Before<br>Petition | Settled<br>After<br>Petition | Injunction<br>Granted in<br>Whole or<br>Substantial<br>Part | Injunction<br>Denied in<br>Whole or<br>Substantial<br>Part | Case Not Litigated (misconduct ceased, changed circumstances, mooted by Board decision) | Pending<br>Before<br>Court |
|---|--|-------------------------------|------------------------------|---|--|---|----------------------------|
| 9. Mass picketing and violence                                  | 1  | 0                             | 0                            | 1   | 0  | 0   | 0                          |
| 10. Notice requirements for strike or picketing (8(d) and 8(g)) | 0  | 0                             | 0                            | 0   | 0  | 0   | 0                          |
| 11. Refusal to permit protected activity on property            | 0  | 0                             | 0                            | 0   | 0  | 0   | 0                          |
| 12. Union coercion to achieve unlawful object                   | 0  | 0                             | 0                            | 0   | 0  | 0   | 0                          |
| 13. Interference with access to Board processes                 | 1  | 0                             | 0                            | 1   | 0  | 0   | 0                          |
| 14. Segregating assets  | 3  | 0                             | 1                            | 1   | 0  | 1   | 0                          |
| 15. Miscellaneous Totals  | 0<br>164                                   | 0<br>49                       | 0<br>21                      | 0<br>46   | 0 18   | 0<br>16   | 0 14                       |

#### APPENDIX B -10(j) REPORT 3/3/98 THROUGH 1/15/01

#### LIST OF SITUATIONS IN WHICH 10(j) INJUNCTIONS WERE AUTHORIZED

#### SITUATION 1 -- Interference with Organizational Campaign (no majority)

| (Da | Case Name and Number ate of Board Authorization)               | Sections of Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition   | Citation to Court<br>Litigation                            |
|-----|--|-----------------------------|---|--|
| 1.  | Maintenance Unlimited 27-CA-14797 (5/14/98)                    | 8(a)(1) and (3)             | Settled after petition                              |  |
| 2.  | Spee Dee Delivery, Inc. 30-CA-14181 (6/15/98)                  | 8(a)(1) and (3)             | Settled before petition                             |  |
| 3.  | Bolivar Tee's Mfg. Co.<br>17-CA-19569 (6/2/98)                 | 8(a)(1) and (3)             | Petition withdrawn based upon changed circumstances |  |
| 4.  | Rickey Bodmer &<br>Randall Hannah<br>9-CA-35836 (5/29/98)      | 8(a)(1) and (3)             | Settled after petition                              |  |
| 5.  | Custom Components Truss<br>Co.<br>7-CA-40709 (6/8/98)          | 8(a)(1) and (3)             | Settled after petition                              |  |
| 6.  | <pre>Kuni Cadillac &amp; BMW, L.L.C. 36-CA-8120 (7/7/98)</pre> | 8(a)(1) and (3)             | Settled before petition                             |  |
| 7.  | Mid-States Bolt & Screw Company 7-CA-40824 (6/26/98)           | 8(a)(1) and (3)             | Injunction granted                                  | 98-72777<br>(E.D. Mich.<br>August 7, 1998)<br>(J. Feikens) |

## SITUATION 1 -- Interference with Organizational Campaign (no majority)

| (Da | Case Name and Number<br>ate of Board Authorization)                  |                              | Litigation Result and/or<br>Pre-trial Disposition  | Citation to Court<br>Litigation   |
|-----|--|------------------------------|--|---|
| 8.  | Precision Rack Corp. 14-CA-25056 (8/10/98)                           | 8(a)(1) and (3)              | Settled after petition   |   |
| 9.  | J.L. Manta, Inc.<br>12-CA-19404(-2,-3)<br>(7/8/98)                   | 8(a)(1), (2),<br>(3) and (5) | Settled before petition  |   |
| 10. | JRL Food Corp.,<br>d/b/a Key Food<br>2-CA-31661 (12/15/98)           | 8(a)(1) and (3)              | Injunction granted in part and denied in part, denial reversed and full injunction granted by circuit court of appeals | 162 LRRM 2169<br>(S.D.N.Y. 1999)<br>(J. Casey), affd.<br>as mod. 196 F.3d<br>334 (2d Cir. 1999) |
| 11. | DMI Distribution, Inc.<br>8-CA-29680 (12/14/98)                      | 8(a)(1), (2) and (3)         | Injunction denied on just and proper grounds   | C2-98-1291<br>(S.D. Ohio<br>Eastern Div.<br>September 2, 1999)<br>(J. Holschuh)                 |
| 12. | Addicts Rehabilitation,<br>Center Fund, Inc.<br>2-CA-31771 (3/18/99) | 8(a)(1), (2) and (3)         | Injunction granted in part   | 99 Civ 2357 (JSM)<br>(S.D.N.Y.<br>June 28, 1999)<br>(J. Martin, Jr.)                            |
| 13. | Lone Star Steakhouse<br>& Saloon, Inc.<br>9-CA-37407 (4/5/99)        | 8(a)(1) and (3)              | Settled before petition  |   |

## SITUATION 1 - <u>Interference with Organizational Campaign (no majority)</u>

| (Dat | Case Name and Number<br>e of Board Authorization)      | Sections of Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition   | Citation to Court<br>Litigation   |
|------|--|-----------------------------|---|---|
| 14.  | Webco Industries, Inc.<br>17-CA-19898 (5/6/99)         | 8(a)(1) and (3)             | Injunction granted, stay denied, affd. on appeal    | 164 LRRM 3009<br>(N.D. Okl. 1999)<br>(J. Holmes), affd.<br>225 F.3d 1130 (10th<br>Cir. 2000)                          |
| 15.  | Curtis Tractor & Cab<br>Inc.<br>1-CA-37018 (7/1/99)    | 8(a)(1) and (3)             | Settled before petition                             |   |
| 16.  | Elko General Hosp.<br>32-CA-17309 (7/1/99)             | 8(a)(1) and (3)             | Injunction granted, affd. on appeal                 | CV-N-99-362- HDM (RAM) (D. Nev. July 30, 1999)(J. McKibben), affd. Nos. 99-16755 & 00-15141 (9th Cir. April 17, 2000) |
| 17.  | Lattimore Materials Co.<br>LP<br>16-CA-19705 (7/26/99) | 8(a)(1) and (3)             | Settled before petition                             |   |
| 18.  | Waste Management, Inc. 12-CA-19981 (7/26/99)           | 8(a)(1) and (3)             | Injunction granted in part and denied in part       | 99-967-CIV-ORL-<br>19C (M.D. Fla.<br>August 31, 1999)<br>(J. Fawsett)   |
| 19.  | Grede Foundries, Inc. 30-CA-14167 (8/17/99)            | 8(a)(1) and (3)             | Petition withdrawn based upon changed circumstances |   |

# SITUATION 1 - <u>Interference with Organizational Campaign (no majority)</u>

| (Dat | Case Name and Number<br>ce of Board Authorization)                 | Sections of Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition   | Citation to Court<br>Litigation   |
|------|--|-----------------------------|---|---|
| 20.  | The Earthgrains Co. 11-CA-18295 (9/22/99)                          | 8(a)(1) and (3)             | Petition not filed based upon changed circumstances |   |
| 21.  | West Michigan Plumbing & Heating, Inc. 7-CA-42086 (9/27/99)        | 8(a)(1) and (3)             | Injunction granted                                  | 1:99-CV-771<br>(W.D. Mich.<br>Southern Division<br>November 3, 1999)<br>(J. Enslen)             |
| 22.  | Gallup, Inc.<br>16-CA-19898-1 (11/12/99)                           | 8(a)(1) and (3)             |   |   |
| 23.  | American Showa<br>8-CA-31106 (1/19/00)                             | 8(a)(1) and (3)             | 10(j) proceeding mooted by Board order              |   |
| 24.  | Detroit Metropolitan<br>Airport Taxi Assn.<br>7-CA-42187 (1/21/00) | 8(a)(1), (3)<br>and (4)     | Settled before petition                             |   |
| 25.  | Meadowlands Plaza Hotel 22-CA-23556 (2/29/00)                      | 8(a)(1) and (3)             | Injunction granted                                  | Civil Action No.<br>2000-962 (D. N.J.<br>April 17, 2000)<br>(J. Wolin)                          |
| 26.  | Excel Case Ready<br>1-CA-37682 (3/23/00)                           | 8(a)(1) and (3)             | Injunction granted, affd. on appeal                 | 00-10603-MLW (D. Mass. May 8, 2000)(J. Wolf), affdF.3d, No. 00-1632 (1st Cir. January 26, 2001) |

# SITUATION 1 - <u>Interference with Organizational Campaign (no majority)</u>

| Case Name and Number (Date of Board Authorization)                              | Sections of Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition   | Citation to Court<br>Litigation  |
|---|-----------------------------|---|--|
| 27. Grand Valley Health Center GR7-CA-42686 (5/16/00)                           | 8(a)(1) and (3)             | Injunction denied                                   | 1:00-CV-370<br>(W.D. Mich. Southern<br>Div. July 7, 2000)<br>(J. McKeague) |
| 28. Rankin & Rankin, Inc.<br>20-CA-29388-1 (5/16/00)                            | 8(a)(1) and (3)             | Settled before petition                             |  |
| 29. Tres Estrellas de Oro,<br>Inc.<br>21-CA-33633 (5/16/00)                     | 8(a)(1) and (3)             | Injunction granted                                  | CV 00-5422 MMM (Mex)<br>(C.D. Ca. June 23,<br>2000) (J.Morrow)             |
| 30. St. Vincent Medical<br>Center<br>31-CA-24325 (5/16/00)                      | 8(a)(1) and (3)             | Injunction denied                                   | CV 00-5369 -ER<br>(BQRx) (C.D. Ca.<br>July 25, 2000) (J.<br>Rafeedie)      |
| 31. Skookum Educational Programs, Inc. 19-CA-26833 (6/15/00)                    | 8(a)(1) and (3)             | Settled before petition                             |  |
| 32. Jersey Eelvator<br>22-CA-24000 (8/17/00)                                    | 8(a)(1) and (3)             | Settled after petition                              |  |
| 33. Paramount Parks, Inc. d/b/a Star Trek: The Experience 28-CA-15464 (8/22/00) | 8(a)(1)(3) and (4)          | Petition not filed based upon changed circumstances |  |

# SITUATION 1 - Interference with Organizational Campaign (no majority)

| Case Name and Number (Date of Board Authorization)                        |                 | Litigation Result and/or<br>Pre-trial Disposition | Citation to Court<br>Litigation   |
|---|-----------------|---|---|
| 34. Guarantee Records<br>22-CA-24086 (9/26/000                            | 8(a)(1) and (3) | Settled before petition                           |   |
| 35. Shasta Community Health Center 20-CA-29582-1 (10/10/00)               | 8(a)(1) and (3) | Settled after petition                            |   |
| 36. Friendly Chevrolet, Inc. 33-CA-13404 (11/21/00)                       | 8(a)(1) and (3) | Settled before petition                           |   |
| <pre>37. Telespectrum Worldwide,     Inc.     3-CA-22540 (11/21/00)</pre> | 8(a)(1) and (3) |   |   |
| 38. John Hancock, Jr., Inc. 11-CA-18716 (11/28/00)                        | 8(a)(1) and (3) | Injunction denied                                 | 7:00CV00944<br>(W.D. Va. Roanoke<br>Div. January 4,<br>2001) (J. Kiser) |
| 39. Indian Motorcycle Corp. 32-CA-18239 (12/28/00)                        | 8(a)(1) and (3) |   |   |
| 40. Pollari Electric, Inc. 29-CA-23527 (1/12/01)                          | 8(a)(1) and (3) |   |   |
| 41. Mercury Luggage Mfg. Co. 32-CA-18180-1 (1/12/01)                      | 8(a)(1) and (3) |   |   |

## SITUATION 2 -- <u>Interference with Organizational Campaign (majority)</u>

| (Da | Case Name and Number<br>ate of Board Authorization)        | Sections of Act<br>Involved  | Litigation Result and/or<br>Pre-trial Disposition      | Citation to Court<br>Litigation                                       |
|-----|--|------------------------------|--|---|
| 1.  | Menlo Food Corp. 32-CA-16384 (4/21/98)                     | 8(a)(1) and (3)              | Injunction granted in part, <u>Gissel</u> order denied | C 98-01686 CW<br>(N.D. Ca.<br>June 19, 1998)<br>(J. Wilken)           |
| 2.  | General Fabrications<br>Corp.<br>8-CA-29443 (7/21/98)      | 8(a)(1), (3),<br>(4) and (5) | Injunction granted                                     | 161 LRRM 2017<br>(N.D. Ohio Western<br>Div. 1999)<br>(J. Carr)        |
| 3.  | Transport Int'l Express, Inc. 9-CA-36028-1,-2,-3 (8/24/98) | 8(a)(1),(3)<br>and (5)       | Settled before petition                                |   |
| 4.  | General Trailer Inc.<br>32-CA-16935 (12/22/98)             | 8(a)(1) and (3)              | Petition not filed due to changed circumstances        |   |
| 5.  | Duramix Concrete Corp. 22-CA-22942 (1/4/99)                | 8(a)(1), (3)<br>and (5)      | Settled before petition                                |   |
| 6.  | Rolls-Royce Energy<br>Systems, Inc.<br>9-CA-36375 (1/7/99) | 8(a)(1)                      | Injunction denied                                      | C-1-99-25<br>(S.D. Ohio Western<br>Div. April 22,<br>1999) (J. Dlott) |
| 7.  | Beckley Mechanical, Inc. 9-CA-36300-3 (1/7/99)             | 8(a)(1) and (5)              | Injunction granted                                     | 161 LRRM 2311<br>(S.D. W.Va. Beckley<br>Div. 1999)<br>(J.Chambers)    |

## SITUATION 2 -- Interference with Organizational Campaign (majority)

| (Da | Case Name and Number<br>te of Board Authorization)  | Sections of Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition  | Citation to Court<br>Litigation  |
|-----|---|-----------------------------|--|--|
| 8.  | Nor-Cal Ready Mix, Inc.<br>32-CA-16894 (2/3/99)   | 8(a)(1) and (3)             | Settled after petition   |  |
| 9.  | Forum Manufacturing, Inc. 8-CA-29985 (3/1/99)   | 8(a)(1), (3)<br>and (5)     | Settled before petition  |  |
| 10. | Construction Metals, Inc. 32-CA-17247-1 (6/17/99)   | 8(a)(1), (3)<br>and (5)     | Settled after petition   |  |
| 11. | Aldworth Co., Inc.<br>4-CA-27274 (7/23/99)  | 8(a)(1), (3)<br>and (5)     | Injunction granted   | 99-CV-3568 (JBS)<br>(D. N.J. December 20,<br>2000)(J. Simandle)  |
| 12. | Stephen Dunn & Assoc. 32-CA-17506 (10/5/99)   | 8(a)(1), (3)<br>and (5)     | Injunction granted in part, <u>Gissel</u> order denied; affirmed in part and reversed in part on appeal, <u>Gissel</u> order granted | C99-4547 MMC (N.D. Ca. December 20, 1999) (J. Chesney), affd. in part and reversed in partF.3d, No. 00-15416 (9th Cir. February 2, 2001) |
| 13. | Chartwells, a Div. of Compass Group USA, Inc. 1-CA-36774 (10/13/99)                                 | 8(a)(1), (3)<br>and (5)     | Settled after petition   |  |
| 14. | Farmington Health Center 34-CA-8806 (11/10/99)  | 8(a)(1), (3)<br>and (5)     | Petition withdrawn based upon changed circumstances  |  |
| 15. | CDS-IL1, a Utah General<br>Partnership, d/b/a<br>Village Green Apartments<br>33-CA-13082 (12/17/99) | 8(a)(1), (3)<br>and (5)     | Settled after petition   |  |

## SITUATION 2 -- <u>Interference with Organizational Campaign (majority)</u>

| (Dat | Case Name and Number<br>te of Board Authorization)                  | Sections of Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition   | Citation to Court<br>Litigation                                     |
|------|---|-----------------------------|---|---|
| 16.  | HydroTech, Inc.<br>32-CA-17535-1 (12/22/99)                         | 8(a)(1), (3)<br>and (5)     | Injunction denied                                   | CV-N-99-678<br>DWH (RAM)(D. Nev.<br>January 26, 2000)<br>(J. Hagen) |
| 17.  | Kirby Canyon Recycling and Disposal Facility 32-CA-17543-1 (4/4/00) | 8(a)(1), (3)<br>and (5)     | Injunction granted                                  | C 00 20387 JF PVT (N.D. Ca. San Jose Div. May 2, 2000) (J. Fogel)   |
| 18.  | Deangelo Brothers, Inc. 33-CA-13250-1 (6/20/00)                     | 8(a)(1), (3)<br>and (5)     | Settled before petition                             |   |
| 19.  | Hialeah Hospital<br>12-CA-20339 (6/7/00)                            | 8(a)(1), (3)<br>and (5)     |   |   |
| 20.  | Jensen Precast<br>Enterprises, Inc.<br>28-CA-15861 (6/7/00)         | 8(a)(1), (3)<br>and (5)     | Injunction denied                                   | CV-S-0790-LDG(LRL) (D. Nev. August 3, 2000) (J. George)             |
| 21.  | Tara, Inc., d/b/a AAA<br>Limousine<br>32-CA-17977-1 (7/12/00)       | 8(a)(1) and (3)             |   |   |
| 22.  | Fantasia Fresh Juice Co.<br>13-CA-38526 (7/21/00)                   | 8(a)(1) and (3)             | Petition withdrawn based upon changed circumstances |   |
| 23.  | Smithfield Packing Co. 11-CA-18316 (7/24/00)                        | 8(a)(1), (3)<br>and (5)     |   |   |

#### SITUATION 2 -- Interference with Organizational Campaign (majority)

| Case Name and Number<br>(Date of Board Authorization)                            |                         | Litigation Result and/or<br>Pre-trial Disposition | Citation to Court<br>Litigation |
|--|-------------------------|---|---------------------------------|
| 24. Great Lakes Minerals, LLC 9-CA-375343 (8/8/00)                               | 8(a)(1), (3)<br>and (5) | Settled before petition                           |                                 |
| 25. Certec, Inc. a Division of Carpenter Technology Corp. 22-CA-23801 (10/26/00) | 8(a)(1), (3)<br>and (5) | Settled before petition                           |                                 |
| 26. U.S. Craftsmen, Inc.<br>d/b/a KC Associates<br>2-CA-33123-1 (11/21/00)       | 8(a)(1), (3)<br>and (5) | Settled after petition                            |                                 |
| 27. Register Guard<br>36-CA-8721 (11/21/00)                                      | 8(a)(1) and (3)         |   |                                 |
| 28. Amber Foods, Inc. 32-CA-18139-1 (11/28/00)                                   | 8(a)(1) and (3)         |   |                                 |
| 29. Griffin Soil<br>Stabilization<br>32-CA-18293 (12/28/00)                      | 8(a)(1) and (3)         |   |                                 |

# SITUATION 3 -- <u>Subcontracting or other change to avoid bargaining Obligation</u>

| (Da | Case Name and Number<br>ate of Board Authorization)  | Sections of Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition   | Citation to Court<br>Litigation   |
|-----|--|-----------------------------|---|---|
| 1.  | Rotorex Co., Inc.<br>5-CA-27338 (6/15/98)  | 8(a)(1),(3)<br>and (5)      | Settled after petition                              |   |
| 2.  | Carrier Corp.<br>3-CA-21287 (1/4/99)   | 8(a)(1) and (5)             | Injunction granted in substantial part; stay denied | 66 F. Supp.2d 346 (N.D.N.Y.), stay denied 66 F. Supp.2d 355 (N.D.N.Y. 1999) (J. Mordue) |
| 3.  | The Quikrete Companies 1-CA-37877 (2/25/00)  | 8(a)(1), (3)<br>and (5)     | Petition not filed due to changed circumstances     |   |
| 4.  | Yeshiva Ohr Torah<br>Community School, Inc. dba<br>Manhattan Day School<br>2-CA-32420-1 (6/2/00) | 8(a)(1), (3)<br>and (5)     | Petition withdrawn based upon changed circumstances |   |
| 5.  | Quadrtech Corporation 21-CA-34084 (10/13/00)   | 8(a)(1)(3)<br>and (5)       | Injunction granted                                  | CV 00-11039 CM (MANx)<br>(C.D. Ca. November<br>21, 2000) (J. Moreno)                    |

| (Da | Case Name and Number<br>te of Board Authorization)   | Sections of Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition       | Citation to Court<br>Litigation  |
|-----|--|-----------------------------|---|--|
| 1.  | Sorrento Coats, Inc.<br>31-CA-22699 (3/3/98)   | 8(a)(1) and (5)             | Settled before petition                                 |  |
| 2.  | The Park Associates,<br>Inc., d/b/a Hill Park<br>Nursing Home Center<br>3-CA-20898 (3/23/98)     | 8(a)(1) and (5)             | Injunction granted in substantial part, affd. on appeal | 23 F. Supp.2d 212<br>(N.D.N.Y. 1998)<br>(J. Scullin, Jr.),<br>affd. 166 F.3d 1200<br>(2d Cir. 1998)<br>(table) |
| 3.  | Tucson Ready-Mix, Inc. 28-CA-14582 (4/8/98)  | 8(a)(1) and (5)             | Injunction granted                                      | 11 F. Supp2d 1139 (D. Ariz. 1998)<br>(J. Marquez)  |
| 4.  | Burlington Coat Factory<br>4-CA-26659 (4/22/98)  | 8(a)(1) and (5)             | Petition withdrawn based upon changed circumstances     |  |
| 5.  | Miller Waste Mills, Inc., d/b/a RTP Company 18-CA-14768 (7/7/98)                                 | 8(a)(1) and (5)             | Injunction granted in part and denied in part           | 22 F. Supp.2d 1006 (D. Minn. 1998) (J. Tunheim)  |
| 6.  | Townsend Culinary, Inc. 5-CA-26185 (7/30/98)   | 8(a)(1), (3)<br>and (5)     | Injunction granted in part and denied in part           | 22 F. Supp.2d 480 (D. Md. 1998) (J. Williams, Jr.)   |
| 7.  | Bridgestone/Firestone,<br>Inc. d/b/a Firestone Tire<br>& Service Center<br>32-CA-16812 (9/10/98) | 8(a)(1) and (5)             | Consent injunction entered                              | C-98-3582 TEH<br>(N.D. Ca.<br>October 28, 1998)<br>(J. Henderson)  |

|         | Name and Number oard Authorization)                    | Sections of Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition | Citation to Court<br>Litigation                                   |
|---------|--|-----------------------------|---|---|
| Process | lled Atmosphere<br>sing, Inc. et al.<br>0772 (9/28/98) | 8(a)(1),(3)<br>and (5)      | Injunction denied on just and proper grounds      | 98-CV-60381-AA<br>(E.D. Mich.<br>November 10, 1998)<br>(J. Steeh) |
| Distril | Hold Warehousing & bution Corp. 37308 (11/24/98)       | 8(a)(1) and (5)             | Settled after petition                            |   |
|         | estaurant, Inc.<br>1773 (4/2/99)                       | 8(a)(1) and (5)             | Settled before petition                           |   |
|         | ultry, Inc.<br>23089 (4/21/99)                         | 8(a)(1), (2)<br>and (5)     | Settled before petition                           |   |
| _       | oopers, Inc.<br>16091 (5/7/99)                         | 8(a)(1) and (5)             | Adjusted after petition                           |   |
|         | Brothers Dairy<br>23438 (4/28/99)                      | 8(a)(1) and (5)             | Injunction granted                                | 162 LRRM 2224<br>(C.D. Ca. Eastern<br>Div. 1999)<br>(J. Timlin)   |
| Inc.    | d Transport Texas,<br>19727-1 (7/20/99)                | 8(a)(1), (3)<br>and (5)     | Settled before petition                           |   |
|         | ight Roofing, et al<br>37624 (10/13/99)                |                             | Adjusted before petition                          |   |

| (Da | Case Name and Number ate of Board Authorization)          | Sections of Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition   | Citation to Court<br>Litigation                                      |
|-----|---|-----------------------------|---|--|
| 16. | Firestone/Bridgestone<br>Tire Co.<br>21-CA-33385 (2/4/00) | 8(a)(1) and (5)             | Adjusted before petition                            |  |
| 17. | Superior Coffee<br>13-CA-38164 (3/1/00)                   | 8(a)(1) and (5)             | Settled after petition                              |  |
| 18. | We-Toast Bread Co., Inc.<br>13-CA-38173 (3/6/00)          | 8(a)(1), (3)<br>and (5)     | Settled before petition                             |  |
| 19. | Cook County School Bus 13-CA-38108 (3/28/00)              | 8(a)(1) and (5)             | Injunction granted                                  | 00 C 2042<br>(N.D. Ill. Eastern<br>Div. May 30, 2000)<br>(J. Conlon) |
| 20. | Pratt Towers, Inc.<br>29-CA-22657 (4/25/00)               | 8(a)(1), (3)<br>and (5)     | Injunction granted                                  | 00-CV-2499 (FB)(MDG)<br>(E.D.N.Y. November 16<br>2000 (J. Block)     |
| 21. | Gallo Equipment Co.<br>13-CA-38291 (5/1/00)               | 8(a)(1), (3)<br>and (5)     | Settled before petition                             |  |
| 22. | Goya Foods of Florida<br>12-CA-20233-(2-3)<br>(5/2/00)    | 8(a)(1), (3)<br>and (5)     | Petition withdrawn based upon changed circumstances |  |

| (Da | Case Name and Number<br>te of Board Authorization)  | Sections of Act<br>Involved  | Litigation Result and/or<br>Pre-trial Disposition | Citation to Court<br>Litigation   |
|-----|---|------------------------------|---|---|
| 23. | Cooperative Plus, Inc. 30-CA-15000 (6/5/00)   | 8(a)(1) and (5)              | Settled before petition                           |   |
| 24. | Outrigger Hotels &<br>Resorts d/b/a Outrigger<br>Wailea Resort<br>37-CA-5575 (8/2/00)         | 8(a)(1) and (5)              | Injunction granted                                | MISC No. 00-00126<br>HG-LEK (D. Haw.<br>September 21, 2000)<br>(J. Gillmor)                             |
| 25. | Innovative Communications<br>Corp., et al.<br>24-CA-8472 (8/8/00)                             | 8(a)(1), (2),<br>(3) and (5) | Injunction granted                                | Civ. No. 2000-168<br>(D. V. Is. Div. of<br>St. Thomas and St.<br>John September 14,<br>2000) (J. Moore) |
| 26. | Power Parts Sign Co., a Division of Ri-del Mfg., Inc. 13-CA-38272 (10/6/00)                   | 8(a)(1), (3)<br>and (5)      | Settled after petition                            |   |
| 27. | San Diego/Imperial<br>Counties Chapter of the<br>American Red Cross<br>21-CA-33295 (11/19/00) | 8(a)(1) and (5)              | Injunction denied                                 | 00-CV-2273-J (POR)<br>(S.D. Ca. December<br>14, 2000) (J. Jones,<br>Jr.)                                |

## SITUATION 5 -- <u>Undermining of bargaining representative</u>

| (Da | Case Name and Number<br>ate of Board Authorization)          | Sections of Act<br>Involved  | Litigation Result and/or<br>Pre-trial Disposition | Citation to Court<br>Litigation                                  |
|-----|--|------------------------------|---|--|
| 1.  | South Coast Refuse Corp. 21-CA-32555 (8/10/98)               | 8(a)(1), (3)<br>and (5)      | Injunction granted                                | 161 LRRM 2867<br>(C.D. Ca. 1999)<br>(J. Matz)                    |
| 2.  | Benson Hanson Mfg. Corp.,<br>29-CA-21970 (8/10/98)           | 8(a)(1), (3)<br>and (5)      | Petition withdrawn upon issuance of Board order   |  |
| 3.  | Village Manor Health<br>Care, Inc.<br>34-CA-8227-1 (9/3/98)  | 8(a)(1), (3),<br>(4) and (5) | Settled before petition                           |  |
| 4.  | Parkview Nursing Home<br>1-CA-36606 (1/26/99)                | 8(a)(1), (3)<br>and (5)      | Settled before petition                           |  |
| 5.  | Pennsylvania Coach<br>Lines, Inc.<br>6-CA-30134 (5/7/99)     | 8(a)(1) and (5)              | Settled before petition                           |  |
| 6.  | Ambassador Steel Corp. 9-CA-36509 (7/23/99)                  | 8(a)(1) and (5)              | Settled before petition                           |  |
| 7.  | Yellow Enterprises<br>Systems, Inc.<br>25-CA-26494 (8/27/99) | 8(a)(1), (3),<br>(4) and (5) | Injunction granted in part and denied in part     | 4:99-CV-187-M<br>(W.D. Ky. May 16,<br>2000)(J. McKinley,<br>Jr.) |

## SITUATION 5 -- <u>Undermining of bargaining representative</u>

| (Da | Case Name and Number<br>te of Board Authorization)                                   | Sections of Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition   | Citation to Court<br>Litigation   |
|-----|--|-----------------------------|---|---|
| 8.  | Pacific Micronesia Corp. 37-CA-4296 (8/3/99)   | 8(a)(1), (3)<br>and (4)     | Injunction denied in substantial part               | Civil No. 99-0044 (D. No. Mariana Islands November 17, 1999) (J. Munson)  |
| 9.  | Hilton Int'l of Puerto<br>Rico, d/b/a Caribe Hilton<br>Hotel<br>24-CA-8253 (9/24/99) | 8(a)(1), (3)<br>and (5)     | Adjusted before petition                            |   |
| 10. | California Cedar<br>Products Co.<br>32-CA-17446 (10/7/99)                            | 8(a)(1) and (5)             | Injunction granted                                  | S-99-2009 FCD/DAD<br>(E.D. Ca.<br>December 22, 1999)<br>(J. Damrell, Jr.) |
| 11. | Pinnacle Metal Products f/k/a The Wilkie Co. 7-CA-42013 (10/13/99)                   | 8(a)(1), (3)<br>and (5)     | Petition withdrawn based upon changed circumstances |   |
| 12. | Inns of America<br>20-CA-29066 (10/25/99)  | 8(a)(1), (3)<br>and (5)     | Settled before petition                             |   |
| 13. | ICH Corp., et al.<br>20-CA-28861 (11/10/99)  | 8(a)(1), (3)<br>and (5)     | Injunction granted in substantial part              | C-00-0794 WHO (N.D.<br>Ca. August 29, 2000)<br>(J. Orrick, Jr.)           |

# SITUATION 5 -- <u>Undermining of bargaining representative</u>

| Case Name and Number (Date of Board Authorization)                                   | Sections of Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition | Citation to Court<br>Litigation |
|--|-----------------------------|---|---------------------------------|
| <pre>14. Armored Transport Texas,</pre>  | 8(a)(1), (3)<br>and (5)     | Settled before petition                           |                                 |
| 15. Marathon Ashland Petroleum, LLC 7-CA-42902 (8/8/00)                              | 8(a)(1), (3)<br>and (5)     | Settled before petition                           |                                 |
| 16. Litteken Acquisitions,<br>Inc.<br>14-CA-26038 (8/16/00)                          | 8(a)(1), (3)<br>and (5)     | Settled before petition                           |                                 |
| 17. SAE Young Westmont<br>Chicago, LLC<br>13-CA-38410 (10/25/00)                     | 8(a)(1), (3)<br>and (5)     | Settled before petition                           |                                 |
| 18. Alan Ritchey, Inc. 32-CA-18149-1 (11/14/00)                                      | 8(a)(1), (3)<br>and (5)     |   |                                 |
| 19. Beverly Health and<br>Rehabilitation Services,<br>Inc.<br>15-CA-15796 (12/28/00) | 8(a)(1), (3)<br>and (5)     |   |                                 |
| 20. Muth Lumber Co.<br>9-CA-37771-1 (1/12/01)  | 8(a)(1), (3)<br>and (5)     | Settled before petition                           |                                 |
| 21. Orthopedic & Hand<br>Surgery Associates<br>5-CA-28971 (1/12/01)                  | 8(a)(1), (3) and $(5)$      |   |                                 |

# SITUATION 6 -- Minority union recognition

| Case Name and Number (Date of Board Authorization)   | Sections of Act<br>Involved               | Litigation Result and/or<br>Pre-trial Disposition | Citation to Court<br>Litigation  |
|--|---|---|--|
| 1. Gulf Caribe Maritime, Inc.<br>31-CA-23820<br>Seafarers Int'l Union<br>31-CB-10449 (8/17/99) | 8(a)(1), (2)<br>(3) and (4)<br>8(b)(1)(A) | Injunction denied                                 | CV 99-08504 RAP (Ex) (C.D. Ca. September 13, 1999), reconsid. denied November 15, 1999 (J. Paez) |
| 2. Falconite Equipment Co. 25-CA-26608 (10/18/99)  | 8(a)(1), (2)<br>and (3)                   | Settled before petition                           |  |

## SITUATION 7 -- <u>Successor refusal to recognize and bargain</u>

| (D | Case Name and Number rate of Board Authorization)                                       | Sections of<br>Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition | Citation to Court<br>Litigation                            |
|----|---|--------------------------------|---|--|
| 1. | <pre>KMO Industries, Inc. 9-CA-35240 (3/23/98)</pre>                                    | 8(a)(1), (3)<br>and (5)        | Settled before petition                           |  |
| 2. | Professional Distribution<br>Services, Inc.<br>14-CA-25063 (7/8/98)                     | 8(a)(1) and (5)                | Settled after petition                            |  |
| 3. | Cedars Pavilion, LLC d/b/a Cedar Hills 14-CA-25279 (1/7/99)                             | 8(a)(1) and (5)                | Settled after petition                            |  |
| 4. | Brown & Root, Inc.<br>15-CA-14990-1 (3/31/99)   | 8(a)(1), (3)<br>and (5)        | Injunction denied on just and proper grounds      | 65 F. Supp.2d 1264<br>(S.D. Ala. 1999)<br>(J. Butler, Jr.) |
| 5. | Jewell Industries, Inc. 5-CA-28042 (4/2/99)   | 8(a)(1), (3)<br>and (5)        | Settled before petition                           |  |
| 6. | CC Coal Company<br>9-CA-36612 (6/17/99)   | 8(a)(1), (3)<br>and (5)        | Settled before petition                           |  |
| 7. | NRNH, Inc. d/b/a Jennifer<br>Matthew Nursing & Rehab.<br>Center<br>3-CA-21861 (8/17/99) | 8(a)(1), (3)<br>and (5)        | Injunction granted                                | 163 LRRM 2033<br>(W.D.N.Y. 1999)<br>(J. Skretny)           |

#### SITUATION 7 -- <u>Successor refusal to recognize and bargain</u>

| (  | Case Name and Number<br>Date of Board Authorization)                          | Sections of<br>Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition | Citation to Court<br>Litigation                                  |
|----|---|--------------------------------|---|--|
| 8. | Value Investors, Inc.,<br>d/b/a Concord Associates LF<br>3-CA-21909 (8/30/99) | 8(a)(1) and<br>(5)             | Injunction granted                                | 99 CIVIL 9576 (CM) (S.D.N.Y. March 15, 2000) (J. McMahon)        |
| 9. | <pre>Inn Credible Caterers, Ltd. 34-CA-8845 (11/10/99)</pre>                  | 8(a)(1) and (5)                | Injunction denied                                 | 164 LRRM 2554<br>(S.D.N.Y. 2000)<br>(J. Casey)                   |
| 10 | . Francisco Foods, Inc., d/b/a Piggly Wiggly 30-CA-14738 (11/23/99)           | 8(a)(1), (3)<br>and (5)        | Injunction denied                                 | 99-C-1402 (E.D. Wis.<br>February 4, 2000) (J.<br>Randa)          |
| 11 | . New Silver Palace<br>Restaurant<br>2-CA-30820 (12/17/99)                    | 8(a)(1),(3),<br>(4) and (5)    | Injunction granted in substantial part            | 99 Civ. 12431 (S.D.N.Y.<br>January 19, 2000)<br>(J. Hellerstein) |
| 12 | <pre>. Motor City Investments,<br/>Inc.<br/>7-CA-42547 (2/15/00)</pre>        | 8(a)(1) and (5)                | Settled before petition                           |  |
| 13 | . Catholic Healthcare West<br>Bay Area<br>32-CA-17786 (4/4/00)                | 8(a)(1) and (5)                | Injunction granted                                | C 00-20386 JW<br>(N.D. Ca. June 19,<br>2000) (J. Ware)           |
| 14 |   | 8(a)(1) and<br>(5)             | Settled after petition                            |  |

# SITUATION 7 -- <u>Successor refusal to recognize and bargain</u>

| (D  | Case Name and Number<br>ate of Board Authorization)                      | Sections of<br>Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition | Citation to Court<br>Litigation  |
|-----|--|--------------------------------|---|--|
| 15. | Jet Star, Inc.<br>13-CA-38068 (4/11/00)                                  | 8(a)(1), (3)<br>and (5)        | Settled after petition                            |  |
| 16. | Carnegie Café and Food<br>Management, LLC<br>3-CA-22274 (4/25/00)        | 8(a)(1), (3)<br>and (5)        | Settled before petition                           |  |
| 17. | Ashley's Cleaning Service 13-CA-38304 (5/31/00)                          | 8(a)(1) and (5)                | Settled before petition                           |  |
| 18. | Adair Express, L.L.C. 31-CA-24291 (6/15/00)                              | 8(a)(1), (3)<br>and (5)        | Injunction granted in substantial part            | 00-06590 WJR (Mex)<br>(C.D. Ca. July 19,<br>2000) (J. Rea)               |
| 19. | Furniture Renaissance d/b/a Samuel Bent & Bros. LLC 1-CA-37988 (6/30/00) | 8(a)(1) and (5)                | Injunction denied                                 | 111 F.Supp.2d 65 (D. Mass. 2000) (J. Gorton)                             |
| 20. | Onyx Precision Services,<br>Inc.<br>3-CA-22488 (8/8/00)                  | 8(a)(1) and (5)                | Injunction granted                                | 1:00-CV-00686 A<br>(W.D.N.Y. December 20,<br>2000)(J. Arcara)            |
| 21. | TCG International, Inc. 7-CA-42790 (9/19/00)                             | 8(a)(1) and (5)                | Injunction denied on just and proper grounds      | 00-CV-74232-DT (E.D. Mich. Southern Div. November 13, 2000) (J. Zatkoff) |

## SITUATION 8 -- Conduct during bargaining negotiations

| (Da | Case Name and Number ate of Board Authorization)                               | Sections of Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition | Citation to Court<br>Litigation  |
|-----|--|-----------------------------|---|--|
| 1.  | Health Care Services<br>Group, Inc.<br>30-CA-14061 (4/27/98)                   | 8(a)(1),(3) and (5)         | Case not litigated due to changed circumstances   |  |
| 2.  | Ekstrom Electric Co.<br>13-CA-36605 (5/12/98)                                  | 8(a)(1),(3)<br>and (5)      | Injunction granted in substantial part            | 98 C 3063 (N.D. Ill.<br>Eastern Div.<br>July 14, 1998)<br>(J. Zagel)   |
| 3.  | United Refining Co., 6-CA-29859 (8/14/98)                                      | 8(a)(1),(3)<br>and (5)      | Injunction granted                                | 159 LRRM 2762<br>(W.D. Pa. 1998)<br>(J. Cohill, Jr.)   |
| 4.  | Zaro's Bake Shop<br>6-CA-31537 (8/24/98)                                       | 8(a)(1) and (5)             | Settled before petition                           |  |
| 5.  | Burrows Paper Corp.<br>26-CA-18552 (10/8/98)                                   | 8(a)(1) and (5)             | Injunction granted in substantial part            | 3:98-CV-791<br>(S.D. Miss.<br>March 5, 1999),<br>findings of fact<br>published at<br>162 LRRM 2719<br>(J. Wingate) |
| 6.  | Theatre Authority, Inc. (Children's Miracle Network) 27-CB-03996-001 (5/24/99) | 8(b)(3)                     | Adjusted before petition                          |  |

## SITUATION 8 -- Conduct during bargaining negotiations

| (Da | Case Name and Number<br>te of Board Authorization)         | Sections of Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition | Citation to Court<br>Litigation   |
|-----|--|-----------------------------|---|---|
| 7.  | PCI, Inc.<br>9-CA-35855 (6/30/99)                          | 8(a)(1) and (5)             | Injunction denied                                 | 99-123 (E.D. Ky.<br>December 6, 1999) (J.<br>Wilhoit, Jr.)                  |
| 8.  | Muth Lumber Company 9-CA-35685 (10/21/99)                  | 8(a)(1) and (5)             | Injunction granted                                | C-1-99-914<br>(S.D. Ohio Western<br>Div. February 10,<br>2000)(J. Beckwith) |
| 9.  | Goad Company<br>14-CA-25782 (1/4/00)                       | 8(a)(1) and (5)             | Injunction denied                                 | 4:00 CV 00038 CEJ (E.D. Mo. Eastern Div. January 25, 2000)(J. Jackson)      |
| 10. | NSA, a Division of Southwire Company 26-CA-18725 (2/16/00) | 8(a)(1), (3)<br>and (5)     | Injunction granted                                | 164 LRRM 2500<br>(W.D. Ky. Owensboro<br>Div. 2000)<br>(J. McKinley, Jr.)    |
| 11. | Special Operations Group 9-CA-37184 (3/8/00)               | 8(a)(1), (3)<br>and (5)     | Settled before petition                           |   |
| 12. | Numark Security, Inc. 9-CA-37419 (7/26/00)                 | 8(a)(1), (3)<br>and (5)     | Settled before petition                           |   |
| 13. | Mission Glass Co., Inc.<br>21-CA-33656 (11/14/00)          | 8(a)(1) and (5)             | Settled before petition                           |   |

# SITUATION 9 -- Mass picketing and violence

| Case Name and Number (Date of Board Authorization)                                     |            | Pre-trial Disposition | Citation to Court<br>Litigation                                    |
|--|------------|-----------------------|--|
| 1. United Steelworkers of America, Local 2155 (RMI Titanium Co.) 8-CB-8721-1 (2/19/99) | 8(a)(1)(A) | Injunction granted    | 161 LRRM 2307<br>(N.D. Ohio Eastern<br>Div. 1999)<br>(J. Economus) |

SITUATION 10 -- Notice requirement for strike or picketing (8(d) and 8(g))

SITUATION 11 -- Refusal to permit protected activity on property

SITUATION 12 -- Union coercion to achieve unlawful object

No cases were authorized under these categories.

## SITUATION 13 -- <u>Interference with access to Board processes</u>

| Case Name and Number (Date of Board Authorization) | Sections of Act | Litigation Result and/or | Citation to Court  |
|--|-----------------|--------------------------|--|
|  | Involved        | Pre-trial Disposition    | Litigation   |
| 1. Webco Industries, Inc.<br>17-CA-20143 (10/6/99) | 8(a)(1) and (4) | Injunction granted       | 99-CV-893-H(E)<br>(N.D. Okla.<br>December 14, 1999)<br>(J. Holmes) |

#### SITUATION 14 -- <u>Segregating assets</u>

| Case Name and Number (Date of Board Authorization) |   | Sections of Act<br>Involved | Litigation Result and/or<br>Pre-trial Disposition | Citation to Court<br>Litigation                          |
|--|---|-----------------------------|---|--|
| 1.   | Dempsey Electrical<br>Contractors of Houston,<br>Inc.<br>16-CA-19129 (1/4/99) | 8(a)(1) and (3)             | Petition not filed due to changed circumstances   |  |
| 2.   | Estoril Cleaning Co. Inc. 1-CA-37811 (3/7/00)                                 | 8(a)(1) and (5)             | Injunction granted                                | 00 CV 10440 EFH (D. Mass. March 13, 2000)(J. Harrington) |
| 3.   | The Holling Press, Inc. 3-CA-20229 (6/28/00)                                  | 8(a)(1)                     | Adjusted after petition                           |  |

#### SITUATION 15 -- Miscellaneous

Case Name and Number Sections of Act Litigation Result and/or Citation to Court (Date of Board Authorization) Involved Pre-trial Disposition Litigation

No cases were authorized under this category.